



EPTN, PTCOG, PROS consensus

Proton therapy for pediatric malignancies: Fact, figures and costs. A joint consensus statement from the pediatric subcommittee of PTCOG, PROS and EPTN



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ABSTRACT

Radiotherapy plays an important role in the management of childhood cancer, with the primary aim of achieving the highest likelihood of cure with the lowest risk of radiation-induced morbidity. Proton therapy (PT) provides an undisputable advantage by reducing the radiation 'bath' dose delivered to non-target structures/volume while optimally covering the tumor with tumoricidal dose. This treatment modality comes, however, with an additional costs compared to conventional radiotherapy that could put substantial financial pressure to the health care systems with societal implications.

In this review we assess the data available to the oncology community of PT delivered to children with cancer, discuss on the urgency to develop high-quality data. Additionally, we look at the advantage of combining systemic agents with protons and look at the cost-effectiveness data published so far.

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Over 300,000 new cancers are diagnosed annually in patients younger than 19 (156/10⁶ person-years) worldwide [1]. The specific cancer diagnoses vary greatly by age, race, sex and country (Fig. 1); however, the most common are CNS tumors, Hodgkin lymphoma, and sarcomas (Fig. 2). Through strong cooperative group structures, overall survival (OS) rates have improved over the past 50 years and now long-term survivorship and quality of life (QOL) have become relevant.

Radiotherapy (RT) is effective for local control (LC), progression-free survival (PFS) and OS for most pediatric solid tumors; however, children are vulnerable to RT related late-effects affecting normal organ function, growth, development and the development of second malignant neoplasms (SMNs). Technological advances in imaging and RT delivery have resulted in better tumor delineation, smaller target volumes and more conformal RT but, surrounding normal tissues remain at risk due to non-target radiation dose.

Proton therapy (PT), by elimination and reduction of exit and entry dose, reduces the low and intermediate dose volumes without compromising tumoricidal dose. Further advances such as pencil beam scanning (PBS) and intensity modulated proton therapy can allow usually better dose conformality, lower normal tissue dose and lower neutron dose contamination. Strategic use of PT is projected to reduce acute and late effect risks, thereby, allowing a better QOL for cancer survivors.

Though many dosimetric and modeling studies support the theoretical benefits of PT, actual clinical results are only now starting to emerge. Existing challenges include the small patient numbers, late-effect latency, inconsistent objective toxicity measures, low incidence of significant late effects, costs associated with long term follow-up studies or registries. Habrand et al. summarize the available literature and demonstrate the dearth of comparison studies that objectively evaluate the practical benefit of PT in comparison to alternative approaches Table 1 [2].

This paper summarizes the potential applications, research opportunities, challenges and benefits of PT in pediatric cancer management.

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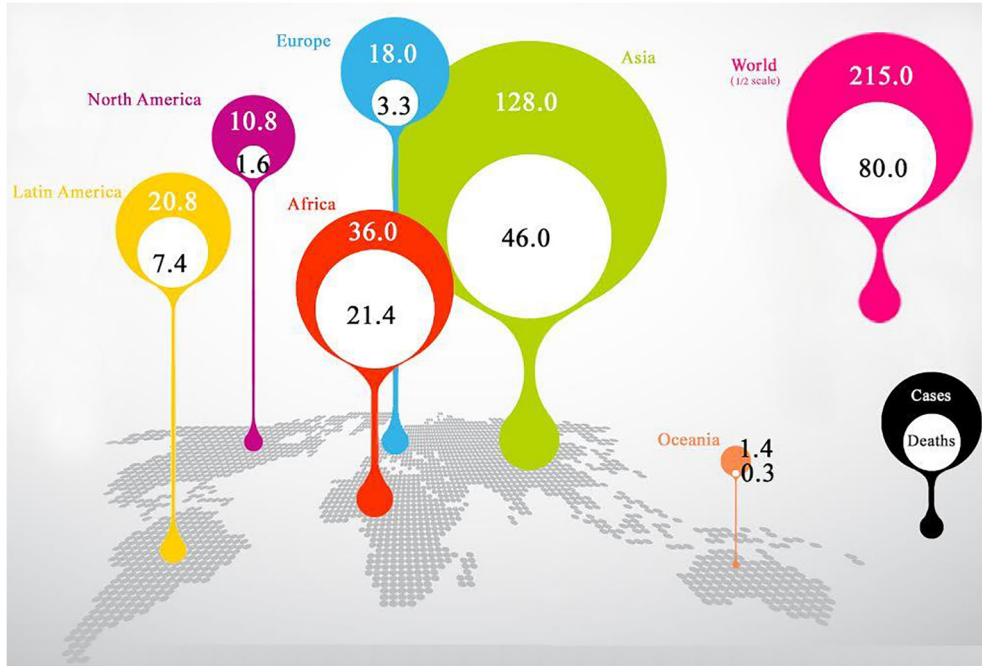


Fig. 1. Estimated numbers of cases and death in ages 0–14 years (2010s).

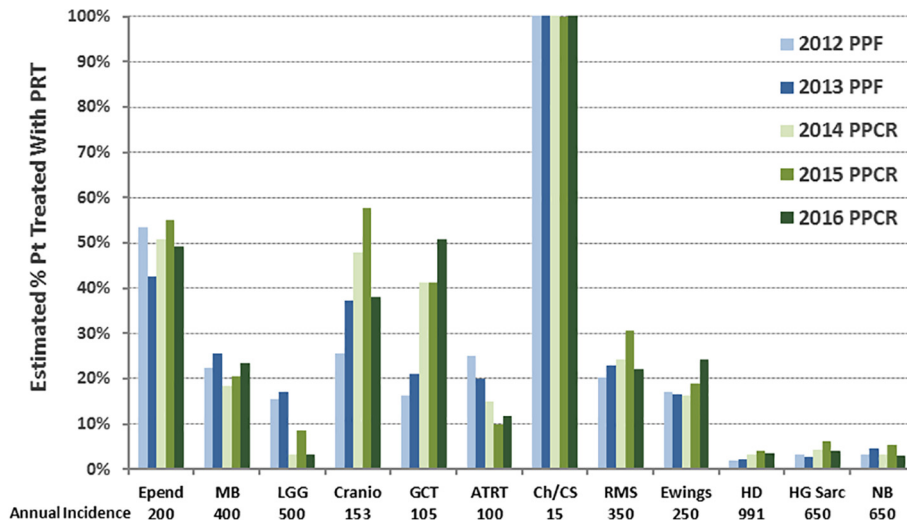


Fig. 2. Estimate of the proportion of total specific new pediatric cancer diagnosis treated at proton centers over five years in the US. 2012–2013 data from the Pediatric Proton Foundation (PPF) and 2014–2016 from the Pediatric Proton Consortium Registry (PPCR) assuming a 60% national participation.

Challenges in level I evidence generation

Despite many more publications examining outcomes and toxicities of PT in comparison to the number examining X-ray RT (XRT), the concern about efficacy and the extent clinical benefit by oncologists, bioethicists, and insurance companies are raised even for children since PT is usually associated with additional expense, treatment complexity and inconvenience. Phase III randomized trials comparing PT to XRT are on-going for adult lung, esophageal and prostate cancer, but the possibility of prospective trials for childhood malignancies remains challenging due to clinical equipoise and several other reasons listed below that cause challenges in clinical trial design and completion: First, which question should be addressed – disease related outcomes? Late effects from therapy? Dosimetrically, PT almost universally results in lower non-target tissue dose than XRT. The normal tissue dose difference may be enough to raise ethical concerns of patient randomization. Single

and multi-institutional publications document the efficacy of PT, and though the majority of these do not provide level 1 evidence, none have raised concern that LC rates are lower with PT.

Second, is the long-term toxicity lowered by non-target tissue dose reduction? These question is premature because PT has been used consistently in children for the past decade – late effect risks may start manifesting now. It is likely that reports are forthcoming; however, the absence of robust XRT related late effects and QOL data limits historical comparisons. Third, perhaps the most important one, is that comparison of one radiation modality to another is meaningless without rigorous understanding of dosimetric parameters. The meaningful comparison is not XRT versus PT, but instead outcomes based on integral organ/patient doses with other dosimetric parameters. The future of research in pediatric radiation oncology will depend on this understanding, and on creative trial design that allows incorporation of various modalities with dose-related outcomes.

Table 1

Inter-comparisons between the impact on toxicity of modern photon and particle therapy, in pediatric malignancies. 2005–2015 clinical experience.

Site	# Patients	Endpoint	Results	P value
<i>Brain</i>				
Gunther [161]	72	MRI changes	P < IMXRT	(.002)
Yock [162]	120	Psycho., QOL	P > XR	(.01)
Bishop [41]	52	Vision	P > IMXRT	(NS)
<i>Neuro-endocrine</i>				
Eaton [137]	77	Ant.pituitary, height	P > XR	(.01–.001)
Viswanathan [163]	31	Ant.pituitary	P > XR+P	(.01)
Bishop [41]	52	Panhypo., obesity	P > XR	(NS)
<i>Acute</i>				
Song [164]	43	Hemato & Digestive	P > XR	(.01)
Grant [8]	24	HN Mucosa	P > XR	(.05)
Rieber [165]	83	Skin & Mucosa	C = P + XR	(NS)
<i>Body</i>				
Sethi [166]	86	K2	P > XR	(.01)
Chung [100]	75	K2	P = XR	(NS)
<i>Lung</i>				
Green [167]	303	Restrictive syndrome	P < XR	(.001)
<i>Head & neck</i>				
Böling [168]	133	Salivary	P < XR	(.02)

Abbreviations: >: better; <worse; Ant.: anterior; C: carbon ions; HN: head and neck; Hemato: haematological; NP: not significant; Panhypo.: panhypopituitarism; Psycho.: psychological. Other abbreviations: see text.

CNS tumors

For CNS tumors, PT spares nearby critical structures, such as the hypothalamus, optic apparatus, hippocampus, and uninvolved brain while maintaining excellent outcomes [3,4]. For many children, this translates to avoidance of neurocognitive sequelae, hearing loss, neuroendocrine abnormalities, vascular disease and SMNs all resulting in the ability to function normally in society [5,6]. Craniospinal irradiation (CSI) has become an important indication for the use of PT [7]. In comparison to XRT, PT decreases dose to neck, thoracic, abdominal and pelvic structures, including the thyroid, esophagus, heart, lungs, bowel and gonads [8]. In addition, as the technology matures, complete vertebral body sparing with PT-CSI in young children may prevent growth retardation. Overall, the decreased exposure is expected to translate into lower rates of chronic organ damage and SMNs.

Medulloblastoma

Approximately 330 cases of medulloblastoma are diagnosed in the US in 0–19 year olds annually. CSI is a critical component in the definitive treatment of medulloblastoma and PT has become an important modality in the management of this disease. A recent single-arm phase II trial of 59 medulloblastoma patients from Massachusetts General Hospital found that CSI with PT resulted in no cases of long-term cardiac, lung, or gastrointestinal toxicity compared to a 25–50% incidence of these late effects in prior studies of patients treated with XRT [9]. Moreover, disease control was similar compared to historical studies with XRT. Interestingly, a recent evaluation of ototoxicity did not identify a significant difference between patients treated for medulloblastoma with IMXT and PT, though this is likely due to the use of cisplatin [10]. Given the recent results from the Children's Oncology Group (COG) study ACNS0331 showing that decreasing CSI dose to 18 Gy in young standard-risk patients resulted in inferior survival [11], it is apparent that CSI dose reduction is not acceptable for all standard-risk patients but further evaluation with molecular risk stratification is ongoing [12]. At present, PT enables the greatest reduction in dose to organs at risk [13]. A future trial will explore the use of scanning beam PT for vertebral-body sparing CSI to decrease late effects on growth [14].

Ependymoma

Approximately 200 cases of ependymoma are diagnosed in the US annually with 40% occurring in children <3 years of age [15,16]. The majority arise in the posterior fossa with extension into the cervical canal. Metastatic disease is present in only 10–15% of patients at diagnosis but is more common at relapse [17,18]. Intracranial ependymomas are currently classified as WHO Grade II or III (classic/differentiated or anaplastic); however, molecular sub-classification is expected to offer better prognostication.

Standard treatment for ependymoma consists of maximal surgical resection followed by primary site RT [19,20]. The LC rate is 75–80% after a gross total resection (GTR) and adjuvant local PT which is similar to XRT outcomes [3,21]. RT escalation to 59.4 Gy has been advocated by several authors, administered with conventional fractionation or stereotactic hypofractionation [4,22]. PT, as with medulloblastoma, may be used for ependymoma when CSI is indicated for metastatic or recurrent disease. Past trials using chemotherapy without RT have led to dismal outcomes; however, a short course of chemotherapy after a sub-total resection can be considered to facilitate a second attempt for a GTR [23,24]. Clinical data of the use of PT for pediatric ependymoma are summarized in Table 2.

Glioma

Pediatric low grade glioma constitutes over 25% of all primary brain tumors in patients 0–19 years of age. PT is very relevant in the management of pediatric low grade glioma because survival for these patients is expected to be measured in decades. The reduction in the volume of irradiated brain with PT should translate into lower rates of chronic medical issues and improvements in sociodemographic outcomes [25]. Indeed, in a study of 54 pediatric brain tumor patients treated with focal PT or XRT, the IQ of patients treated with XRT declined by 1.57 points/year in comparison to a stable IQ in patients receiving PT ($p = 0.026$) [26]. This benefit may be particularly useful for younger patients who require RT, as young age at RT, especially less than 5 years old, consistently correlates with worse neurocognitive outcomes [27]. Early results demonstrate similar survival outcomes compared to XRT data; in a study of 32 patients treated with PT for PLGG glioma, 8y PFS and OS were 82.8% and 100%, respectively [28].

Table 2
Studies assessing the outcome of children with CNS tumors treated with proton therapy.

Author	Method	Med FU (mo) [range]	N	Med Dose Gy(RBE) [range]	PS/PBS	Chemo Y/N	Outcome
<i>Ependymoma</i>							
MacDonald [3]	R	26 [1.5–78]	17	55.8 [52.2–89.4]	PS	Y 24%	2.2y LC: 86% 2.2y OS: 89%
MacDonald [169]	R	46 [12–139]	70	55.8 [50.4–60]	PS	Y 30%	3y LC:83% 3y OS: 95%
Ares [170]	R	43.4 [8.5–114]	50	59.4 [54–60]	PBS	Y 86%	5y LC: 78% 5y OS: 84%
Sato [171]	R	31 [7–86]	38	55.8 [50.4–59.4]	PS	Y 16%	3y LC: 86% 3y OS: 97%
<i>ATRT</i>							
McGovern [172]	R	24 [4–55]	31	50.4 [9–50.4]	PS	Y	2y PFS: 48% 2y OS:69%
De Amorim [36]	R	27.5 [11.3–99.4]	10	50.4 [50.4–55.8]	PS	Y	9/10 AWD
Weber [38]	R	33.4 [9.7–69.2]	15	54.0	PBS	Y	2y PFS:66.0% 2y OS:64.6%
<i>Craniopharyngioma</i>							
Fitzek [39]	R	186 [122–212]	15*	56.9 [53.4–67.5]	PS**	N	10y LC: 85% 10y OS:72%
Laffond [40]	R	74	29	NS	PS**	N	Exec fxn sx: 25–38%
Bishop [41]	R	33.1 [10.5–65.6]	21	50.4	PBS	N	10y CFFS: 76% 3y OS:96%
Luu [42]	R	NR	16	50.4 [50.4–59.4]	PBS	N	15/16 pts controlled

Abbreviations: N: Number PS: passive scatter, PBS: pencil beam scanning, Chemo: chemotherapy, LC: local control, OS: overall survival, PFS: progression free survival, AWD: alive with disease, Exec fxn sx: executive function symptoms, CFFS: cyst failure free survival, NR: not reported ATRT: atypical teratoid rhabdoid tumor; AWD: alive without disease, R: retrospective analysis; CFFS: cystic failure-free survival; NS: not specified.

* 2/3 of cohort are adults.

** Proton/photon therapy.

Data to support PT for pediatric high grade glioma are limited, but may be useful for selected grade III tumors. Given the poor overall prognosis of glioblastoma of diffuse midline glioma (H3K27 mutant), PT has not been shown to have a strategic role at this time.

Atypical teratoid/rhabdoid tumor

Atypical teratoid/rhabdoid tumor (ATRT) is a brain tumor that affects infants ($\leq 20\%$ of CNS tumors) or children younger than 5 [29–32]. Despite aggressive regimens with maximal safe resection, chemotherapy and RT, the median survival remains low (6–11mo) [33,34]. RT does improve outcomes; however, oncologists are reluctant to use RT in these young patients [30–32,34,35]. PT may provide an acceptable approach since dose-comparative studies have shown that PT in comparison to IMXT substantially decreases the integral brain dose in these patients [36].

(Table 2) details the series reporting the outcome of young children (median age, 17–28 months), treated with PT. The reported 2-year OS range from to 55% to 66%. Of note focal or CSI has been administered to these patients. These data are encouraging and compared favorably to modern XRT series [37]. Of note, Weber et al. performed a proxy-QOL analysis which showed that PT did not negatively impact the QOL of these ATRT patients. The mean QOL scores in the physical and emotion domains were higher after PT when compared to those observed prior to irradiation [38].

Craniopharyngioma

Craniopharyngioma is the third most common brain tumor in children (100–150 cases/yr in the US). It is histologically benign with a good survivorship but with substantial neurocognitive and/or psychological morbidity. In an effort to mitigate risks associated with aggressive surgery, the recommended strategy is to perform maximal safe surgery with postoperative or salvage RT. Several different RT modalities, including IMXT, stereotactic RT and PT, have been used in the past.

Fitzek et al. published on 5 children (median age, 15.9 yr) and 10 adults (median age, 36.2 yr) treated with XRT and PT to a median dose of 56.9 Gy(RBE) [39]. After a median of 13.2 years, the 10 y OS and LC rates were 72% and 85%, respectively (Table 2). Life style and professional accomplishments of the entire cohort was satisfactory. The French group reported the QOL, executive functioning and mood disorders of 29 patients with craniopharyngioma (mean, 7.8 years) treated with PT and XRT [40]. 38% were depressed and >20% had executive function symptoms. Bishop et al. reported on 21 children (median, age 9.1 years) treated with PT or XRT to a median dose of 50.4 Gy(RBE). The 3-year OS was excellent (Table 2). LC and OS were equivalent between the PT and XRT cohorts [40,41]. Finally, Luu et al. reported on 16 patients (range 7–34 yr) who received PT (50.4–59.4 Gy(RBE)). LC was achieved in 15 patients and 75% of the patients survived [42].

In summary, the outcome of patients treated with XRT and PT seem to be equivalent but PT were frequently used to deliver higher doses but allows improved temporal lobe and hippocampal sparing (Table 2).

Germ cell tumor

Approximately 200 malignant CNS germ cell tumors are diagnosed annually in the US with >75% occurring in children and young adults [43]. These tumors typically arise in the suprasellar or pineal regions (5–10% in both areas), and rarely in other locations [44]. GCTs are divided into two highly prognostic histologic subgroups: pure germinomas (more common) and non-germinomatous germ cell tumor (NGGCT).

Pure germinoma has the most favorable prognosis and is treated successfully with RT alone or RT with chemotherapy [45–48]. Whole-ventricle RT to a dose of 24 Gy followed by a tumor bed boost to total 45–50 Gy is a very effective treatment for localized GCT. Larger field RT (24 Gy CSI, boost to 45 Gy) is recommended for patients with disseminated pure germinoma, still with an excellent prognosis. The use of 2–4 cycles of platinum-based

induction chemotherapy may allow a RT dose reduction, 21 Gy whole ventricular or CSI and boost to 30 Gy, using the same target volumes. A further reduction to 18 Gy large field is being evaluated in COG ACNS1223.

RT alone for NGGCT has resulted in poor outcomes (20–40% LC). Now combined modality treatment with 6 cycles of neoadjuvant of alternating carboplatin/etoposide and ifosfomide/etoposide, followed by RT with or without surgery is standard of care [49,50]. Patients who achieve a complete response after chemotherapy are then treated with CSI to 36 Gy followed by a primary tumor boost to 54 Gy. Those without a CR may benefit from a second-look surgery with resection if feasible.

Though clinical outcomes are still sparse for CNS Germ Cell Tumors, there does appear to be a dosimetric advantage of PT, particularly PBS for whole ventricular to reduce whole brain, temporal lobes, and non-chiasm optic structure doses [48]. Children treated with CSI should benefit in a similar way to patients treated with CSI for medulloblastoma. Patients with CNS germ cell tumors have an excellent prognosis and are likely to be cured of their disease with a prolonged OS and therefore should be highly likely to benefit from reduced RT dose uninvolved structures.

Non CNS tumors

Chordoma/chondrosarcoma

Chordomas are locally invasive tumors that usually occur at the skull base in children, though sacro-coccygeal tumors have been described [51–53]. These tumors are of notochordal origin and only occur in only 5% in the pediatric population [54,55]. The mean age at presentation in children is 10 years, but this tumor has been reported in a neonate [56]. Cranial nerve dysfunction (60%) and headache (40%) are the common presenting symptoms of skull

base chordoma [57,58]. Bladder and bowel dysfunction, perineal or radicular pain and cauda equina syndrome are the most common presenting symptoms for sacral tumors. In general, OS rates of 57–81% have been reported for pediatric chordoma [54,55,57,59]. It appears that chordoma in children younger than 5 years have a worse prognosis than older children or adults, possibly due to a higher rate of metastasis, sacrococcygeal primaries and/or dedifferentiation [55,58,60–62].

Chondrosarcoma is less common tumor in children that occurs in the pelvis and long bones, with <10% arising in the head, neck or skull base regions [63]. As in adults, chordomas and chondrosarcoma are treated with surgery and usually postoperative RT.

Table 3 summarizes the series that report outcomes of 56 patients treated with carbon ions or PT with or without XRT [57,64,65]. The 5y OS with PT ranges from 68% to 89%, which compares favorably to XRT series [59,66]. Because these tumors are very radioresistant and require a high dose of RT, PT or carbon therapy are ideal modalities that allow excellent high-dose conformality with a substantial reduction in the overall integral dose to the patient.

Rhabdomyosarcoma

Rhabdomyosarcoma is the most common childhood soft tissue sarcoma [67,68]. RT has proven to be an important component of the combined-modality treatment in rhabdomyosarcoma [69–71]. PT has been increasingly used in the last decade [72–74] and may offer considerable dosimetric advantages in parameningeal (Fig. 1) [75,76], orbital [76,77], paraspinous [78] and genitourinary sites [76,79].

Thus far, clinical outcomes for rhabdomyosarcoma with PT are similar to XRT reports: 5-year OS, LC and EFS for PT in localized RMS or metastatic embryonal rhabdomyosarcoma were 78%, 81%,

Table 3
Studies assessing the outcome of children with sarcomas treated with proton therapy.

Author	Method	Med FU (mo) [range]	N	Med Dose Gy(RBE) [range]	PS/PBS	Chemo Yes/no	Outcome
<i>Chordoma/Chondrosarcoma</i>							
Rombi [65]	R	46 [4.5–126.5]	26	74.0 Ch [73.8–75.6] 66.0 ChSa [54.0–72.0]	PBS	N	5y LC: 80–81% 5y OS: 75%–89%
Benk [57]	R	72.0 [419.0–120.2]	18	69.0 [56.8–75.6]	PS	N	5y DFS: 63% 5y OS: 68%
Combs [64]	R	9 [1–23]	10	60.0 [60.0–70.0]	CIT	N	LC: 100%*
<i>Rhabdomyosarcoma</i>							
Ladra [80]	P	47 [14–102]	57	50.4 [36–50.4]	PS	Y	3y LC: 81% 5y LC: 81% 3y OS: 81% 5y OS: 78%
Leiser [81]	R	55.5 [0.9–126.3]	83	54 [41.4–64.8]	PBS	Y	5y LC: 78.5% 5y OS: 80.6%
Weber [82]	R	41 (mean) [9–106]	39	54 [50.4–55.8]	PBS	Y	5y PFS: 72% 5y OS: 73%
Childs [83]	R	60 [24–130]	17	50.4 [50.4–56]	PS	Y	5y FFS: 59% 5y OS: 64%
<i>Ewing Sarcoma</i>							
Rombi [92]	R	38.4 [17.4–444.0]	30	54 [45.0–59.4]	PS	Y	3y LC: 86% 3y OS: 89%
Weber [87]	R	49.6 [9.2–131.7]	38	54.9 [45.0–69.9]	PBS	Y	5y LC: 82% 3y OS: 83%
Iwata [173]**	R	[12.0–160.0]	5	70.4 [70.4–73.6]	**	Y	1/5 recurrence

Abbreviations: N: Number PS: passive scatter, PBS: pencil beam scanning, Chemo: chemotherapy ChSa: chondrosarcoma; R: retrospective analysis; Ch: chordoma, ChSa, chondrosarcoma, LC: local control, OS: overall survival, DFS: disease free survival, CIT: carbon ion therapy.

* No actuarial survival estimates.

** Carbon ion therapy.

*** Adult and pediatric mix series.

and 69%, respectively [80] and for parameningeal rhabdomyosarcoma were 5-year OS, LC, EFS, PFS 64–73%, 67.5–77%, 60%, 72% and 59%, respectively [80–83]. Other adverse predictors for prognosis were young age [80], higher stage according to COG grouping and IRS stage [80,81], tumor size (>5 cm) [81], intracranial extensions [81] and delay in the initiation of PT [82]. The risk for late adverse events of any grade (18–35%) [80,81] after PT seemed to be lower when compared to long-term toxicity data for RMS treated with IMXT, ranging from 32% to 47% [84–86]. These results are summarized in Table 3.

In summary, data on treatment outcome and treatment related side effects in pediatric rhabdomyosarcoma after PT are promising. PT should be considered particularly in young patients and geometrically challenging scenarios though tissues within the high dose areas can lead to impaired growth and development especially in young children.

Ewing sarcoma

Ewing sarcoma is a rare malignant bone and/or soft tissue small blue round cell cancer with an approximate annual incidence of 200 cases in the US [87]. It is the second most prevalent bone tumor with a peak incidence between 10 and 15 years of age [88,89]. Induction chemotherapy followed by local consolidation (surgery or RT) is the standard of care with an expected 5y OS of 50–75% [90,91]. RT can be delivered with conventional RT, IMXT or particle therapy (i.e. PT or carbon ions). Table 3 summarizes studies reporting the efficacy of particles for Ewing sarcoma.

Rombi et al. reported the outcome of 30 Ewing sarcoma patients (median age, 10 years) treated to a median dose of 54 Gy(RBE). After a median follow-up time of 38.4 months, with 3yLC and OS rates of 86% and 89%, respectively. 20% of these patients presented grade 3 toxicities [92].

Weber et al. reported on 38 Ewing sarcoma patients (median age, 9.9 years) to a same median dose level of 54.9 Gy(RBE) with PBS PT only. Surgery was not performed on 53% of these patients. At a follow-up of 49.6 months, the 5y LC and OS rates were 81.5% and 83%, respectively. Of note, all local recurrences occurred in-field for non-extremity tumors. The 5y toxicity-free survival was 90.9%, only 2 grade 3 toxicities were observed in this series [87].

Overall, a very limited number (total, 50 patients) of Ewing sarcoma patients treated with particles have been reported in the literature. With a recent COG report noted inferior LC for unresectable pelvic tumors [93] higher doses possible with PT may be advantageous. Where tumors are typically adjacent to, dose-limiting structures such as small bowel and bladder. Carbon ion or PT may substantially decrease long term complications of RT for most unresectable tumors and may result in reduction in peri-operative morbidity for patients receiving combined therapy with surgery due to the significant reduction in bowel and bladder dose.

Osteosarcoma

Osteosarcoma is diagnosed in 400–450 pediatric patients per year in the United States and represents approximately 5% of childhood and adolescent/young adult cancers. The peak incidence coincides with the pubertal growth spurt with approximately 75% occurring in appendicular locations.

Neoadjuvant chemotherapy and aggressive surgery for localized disease yields a 10-year OS of 70% [94]. Some data suggest that RT can achieve LC in patients with unresectable disease or positive margins [95]. Other series that do not show benefit of RT neglect the effect of biases such as incomplete resection. Brady et al. reported on 204 children with head and neck sarcoma (44% osteosarcoma) treated from 1973 to 2013: 58% surgery, 12% RT and 30% both. Disease-specific survival rates were 86.0%, 67.9%

and 75.3% respectively. No difference was found with RT alone vs surgery with RT [96].

Delaney et al. reported a 5y LC of 68% after PT (median 66 Gy, +/- XRT) for osteosarcoma after inadequate surgery. [97] RT was more effective for microscopic or minimal residual disease. A 5y LC and OS of 72% and 67%, respectively was noted in a subsequent report of 55 patients, median age 29 years (range, 2–76), treated with a median dose of 68 Gy using PT or mixed PT/XRT [98]. Risk factors for local failure were ≥ 2 grade disease and total treatment length. Grade 3–4 late toxicity was seen in 30% of patients [98].

Although the level of evidence for RT and PT is low, PT in young patients with unresected or inadequately resected osteosarcoma, often axial tumors adjacent to critical structures, can overcome the limitations of XRT by delivering a higher, tumoricidal, dose while delivering low dose to nearby critical structures. This may be even more relevant to young osteosarcoma patients, who may have germline mutations, associated with an increased vulnerability to secondary cancers [99].

Retinoblastoma

Retinoblastoma is the most common childhood tumor of the eye with an expected >95% 5y OS. Approximately 50–65% of cases are heritable retinoblastoma, a condition in which both RB1 tumor suppressor gene alleles are inactivated in the germline DNA. Children with heritable retinoblastoma often develop tumors in both eyes and are at increased risk of subsequent malignancies as well as treatment-related second malignancies. RT was recognized early as an effective eye-preserving therapy but due to increased risk of SMNs, has now been largely replaced by other eye-preserving therapies such as chemotherapy, laser, and cryo-ablation with RT being reserved for salvage of advanced and refractory disease.

PT has the potential to reduce the incidence of in-field SMNs by reducing radiation exposure to nearby surrounding bone and soft tissues [100]. Current practice trends, however, are influenced largely by second malignancy data from historic RT techniques, thus the majority of patients receiving and RT are now are locally advanced, chemo-refractory and/or status post other focal therapies. Patients with locally advanced and refractory retinoblastoma treated in both the series by Agarwal et al. and Mouw et al., had a >60% enucleation-free survival with PT (Table 4) [101,102]. The report by Mouw et al. also details outcomes for early stage patients who received focal PT. Enucleation-free survival was >90% in those patients suggesting that PT remains a potent therapy for retinoblastoma. Follow-up is limited but the data suggest PT should be reconsidered at earlier stages of disease if long-term outcomes in reduction in late toxicity are confirmed.

Lymphoma

Approximately 800 cases of Hodgkin's lymphoma are diagnosed annually in the United States in patients 18 and younger. Adolescents with Hodgkin lymphoma experience excellent cure rates and are expected to live several decades [68]. Unfortunately, they have a high risk of developing late grade 3 toxicities, including SMNs and cardiovascular complications which generally exhibit a linear dose–response relationship to RT [103,104]. A recent summary of dosimetric studies has demonstrated reductions in dose to organs at risk with PT compared with 3D-RT and IMXT [105]. These dose reductions are expected to translate into a lower risk of SMNs and cardiovascular complications, thereby improving survivorship health outcomes [106]. Late toxicity is generally not seen for 10–15 years after treatment so long-term data are not yet available. Early studies have confirmed the efficacy of PT in Hodgkin's lymphoma; indeed, a large collaborative study among several

Table 4
Studies assessing the outcome of children with other tumors treated with proton therapy.

Author [ref]	Method	Med FU (mo) [range]	N	Med Dose Gy(RBE) [range]	PS/PBS	Chemo Y/N	Outcome
<i>Retinoblastoma</i>							
Agarwal [102]	R	3	16	36 [36–45]	PS	Y	ENS: 63% No in-field SMN
Mouw [101]	R	8	60	44 [40–46.8]	PS	Y	ENS:80% No in-field SMN
<i>Lymphoma</i>							
Hoppe [107]	R	32	138 (mix)	21 ped 30.6 adult	PS/US	Y	3y PFS: 96% adults 3y PFS: 87% peds No G3 toxicity
Nanda [110]	R	24	59 (mix)	30.6 CGE	PS/US	Y	No G 2/3 pneumonitis
Wray [109]	R	36	22 (peds)	21 CGE	PS/US	Y	3 yr PFS: 86%; No G3 toxicity
<i>Neuroblastoma</i>							
Fuji [124]	R	NR	5	36 [21.6–41.4]	PS	Y	NR
Hattangadi [125]	R	38 [11–70]	9 [7–1 site, 2–2 sites]	22 [10.8–36]*	PS	Y	LC: 100% 5/9 NED 7/9 alive
Hill-Kayser [126]	P	16 [5–27]	13pt [8–1 site, 5 – ≥2 sites]	21.6 [21.6–36.0]	PS	Y	LC: 100% 11/13 alive
Oshiro [127]	R	21 [5–348]	14 [9–1 site, 5 – ≥2 sites]	30.6 [19.8–45.5]	PS	Y	LC:100% 8/14 alive

Abbreviations: N: Number PS: passive scatter, PBS: pencil beam scanning, Chemo: chemotherapy, P: prospective, R: retrospective, ENS: enucleation free survival, SMN: second malignant neoplasm, NR: not reported, TBI: total body irradiation, IORT: intra-operative radiotherapy.

* Only proton dose noted in table: 1pt 12 Gy TBI + 10.8 GyRBE; 1pt 5 Gy IORT + 23.4 GyRBE.

institutions reported a 3y event free survival of 92% for all patients and 87% for pediatric patients [107–109]. These studies have not shown any significant grade 3 toxicities or clinically significant pneumonitis [110,111] (Table 4). Currently, pediatric Hodgkin's lymphoma trials, including the COG AHOD 1331 and Euronet PHL-2 study, allow PT. Unfortunately, insurance coverage remains a problem and can prevent these children from receiving a treatment that could potentially impact their late toxicities and QOL as well as limits ability to generate data needed to demonstrate the expected reduction in late toxicity [112].

Neuroblastoma

Neuroblastoma is diagnosed in approximately 800 children in the US annually and is the most common solid extracranial tumor. The median age at diagnosis of 18 months with 90% before age 10 years. Metastatic disease is noted in >70% of cases at diagnosis. Age and stage at presentation remain the most important prognostic factors. In general >75% of patients <2 years and those with INSS stages 1 and 2 have OS rates >90% [113–117].

RT to the primary and selected metastatic sites is needed for definitive treatment of neuroblastoma and for palliation for refractory disease [118–120]. RT planning for neuroblastoma is challenging due to the patient's age, neighboring structures and possible need multiple site treatment. Parallel-opposed fields have been used to cover the surgical bed with a margin; however, with advanced techniques conformal approaches are now used to reduce normal tissue doses including the kidney(s), liver, spinal cord, pancreas, bowel, heart and vertebra for abdominal disease.

Dosimetric comparisons of PT and XRT have suggested an improved therapeutic index for normal tissues [121–124]. Clinical results of PT for neuroblastoma are summarized in Table 4 [124–127]. In general, tumor control and patterns of failure are as expected. PT appears to result in fewer acute toxicities, with improved organ preservation and lower SMN risks. Hill-Kayser et al. conclude that a customized approach is needed and sometimes IMXT is better for ipsilateral renal sparing than 3D PT. PBS will likely provide a better solution for these cases. PT for metastatic site consolidation can be considered for dosimetric benefits

or patient safety/convenience/efficiency if the primary site is treated with PT.

Wilms tumors

Wilms tumor is the most common childhood renal malignancy. Approximately 500 cases are diagnosed annually in the US and the 5-year OS is 90% [68]. RT is used for LC in patients with incomplete resection, higher stage, unfavorable histology, lung metastases and high-risk chromosomal aberrations [128,129]. Classically “flank radiation” is delivered anterior/posterior XRT fields encompassing the initial tumor, involved lymph nodes, 1 cm margin, and adjacent vertebral bodies.

Hillbrand et al. reported 40–60% mean liver and kidney dose reduction with PT for Wilms tumor. In addition, SMNs with PBS-PT were predicted to decrease relative to IMXT and PS-PT [122]. Vogel et al. assessed RT plans for 11 patients comparing standard AP-PA fields to PBS-PT for given CTVs. PBS-PT resulted in a significant dose reduction to the contralateral kidney, bowel and liver [130]. Given the ability of PT to minimize normal tissue exposures, there is interest to investigate its use for Wilms tumor; however, concerns of increased abdominal failures remain [131]. In patients with no diffuse abdominal spill, a clinical trial of PT evaluating LC and incidence and pattern of failure would be helpful.

Costs and CEA

The global cost of cancer care has increased substantially in the last decade and has been estimated to be as high as \$ 895 billion USD in 2008 [148]. It is foreseen that growth in cancer spending is unsustainable in the long-term [149]. One of the main drivers of cancer costs is the delivery of costly new treatments [150], the other being the aging population. The costs associated with the latest cancer drugs have skyrocketed and account for 12% cancer care [151]. PT is also an expensive anti-cancer treatment, with a cost factor of approximately of 2.5, when compared to modern XRT techniques [152]. Interestingly, only 0.05% of all US care health is used for technology assessment [153].

Innovative cancer management should reduce overall health-related costs, improve HR-QOL, reduce toxicities, or improve patient's outcome. PT aims to modify the last three factors and possibly the first. Because resources are scarce, it is of paramount importance to consider the cost-effectiveness (CE) of PT. The CE of PT for medulloblastoma has been evaluated in two hypothetical cohorts of children receiving PT and XRT [154,155]. The model included the risks of IQ loss, hearing loss, hypothyroidism, growth hormone (GH) deficiency, osteoporosis, cardiac disease and SMNs. Study parameters for modeling with PT were: (1) a 52% reduction in SMNs and (2) a 33% reduction in cardiac and non-cardiac mortality and (3) 88% risk reduction for hearing loss, hormone insufficiencies, osteoporosis and IQ loss [6]. The author reported a gain of 0.68 QALY/child with an estimated ICER of € -34,622 EUR. According to this model, for childhood MB, PT is cost-effective and cost-saving.

The CE of PT with cochlear dose reduction in childhood MB has been also assessed in a Japanese study [156] Table 1. Both groups were prescribed RT dose based on disease risk with the same ototoxic chemotherapy regimens resulting in the same treatment efficacy. The cochlear dose was reduced by 37% and 21% with PT for the standard- and high-risk groups, respectively. The utilities associated with irreversible hearing loss were assumed to be 0.80, 0.64 and 0.79 using three different QOL indexes. The costs associated with hearing aids (for 5 years) were \$ 2087 USD Table 2. The authors estimated a 99.5% probability of PT being cost-effective.

Another CE analysis was performed by the Boston group, assessing the value of PT vs. XRT with respect of GH deficiency [157]. The same methodology was used as the former analyses (i.e. using a Markov model) and longitudinal data were used to inform risk parameters for the cohort-simulation model. The annual costs of GH replacement were estimated to be \$ 10,000 based on 2012 figures and CE was assumed at a level of \$50,000/QALY. For hypothalamic doses ≥ 10 –15 Gy RBE, regardless of patient age (4 vs. 12 years), PT was found to be cost saving. As such, PT was felt to be above the cost-neutral threshold when hypothalamic dose could be lowered independent of the tumor type. The corollary conclusion is that PT would probably not be as CE if the critical structure was direct vicinity of the tumor.

In summary, PT may be cost-effective for pediatric CNS tumor management. More CE analyses are urgently needed to evaluate the benefit of PT for non-CNS pediatric tumor management.

Global pediatric radiation oncology: PROS and proton therapy access

Despite the overwhelming dosimetric evidence of advantages of PT for many pediatric diseases demonstrated in this paper, as pediatric radiation oncologists we recognize that PT is not appropriate for all pediatric patients. Children with incurable malignancies who will not live long enough to see the benefits can be very effectively treated with XRT. In some cases, a family may not be able to relocate, treatment is urgently needed or insurance coverage is lacking. We are continuing to make major improvements in XRT, including MR-linacs, VMAT and MR-guided HDR brachytherapy.

The Paediatric Radiation Oncology Society (PROS) is the only international society devoted to this unique sub-specialty. PROS has over 150 members from 37 nations including many members from Low- and Middle-Income Countries. Whereas some of our members treat the majority of pediatric patients with PT, many members come from underserved areas where Cobalt teletherapy units can provide good conformal therapy. The mission of PROS is to set a worldwide standard of excellence in radiation oncology for children and adolescents with cancer. While we endorse and encourage the use of PT for children, we also strive to deliver safe and effective treatment for all children who need RT.

Discussion

In this paper, we have reviewed the data on proton therapy delivered to a number of cancers occurring in children and adolescents. Moreover, we discussed the important issue of access to this costly treatment modality and the cost-effectiveness of protons. Notwithstanding these two important questions, health professionals are left with the conundrum of how to decide on the selection of an appropriate treatment selection for a given child or adolescent with cancer. Of note, a model-based approach for selecting patients who are expected to benefit from PT compared to XRT has been initiated in the Netherlands [132]. In this approach, three criteria should be met: (1) the target dose of PT and XRT should be bio-equivalent; (2) the dose to relevant organs-at-risk should be lower with PT (Δ Dose), and (3) Δ Dose should translate to a clinically relevant RT related toxicity risk reduction, also referred to as Δ NTCP. To translate Δ Dose to Δ NTCP, preferably multivariable NTCP-models are needed, describing the relationship between the 3D-dose distributions in organs-at-risk and toxicity risks. High quality multivariable NTCP-models are increasingly available for adult patients but are lacking for most organs-at-risk in the pediatric population.

Though, a model-based selection in pediatric patients is not feasible with the same level of objectivity a PT and XRT plan comparison with defined threshold dose volume parameters is relevant and will provide more objective data. Comparison plans could be generated for all patients; however, many times one can predict the outcome and the time required for developing XRT plans for comparison may be used otherwise. After some experience, plan comparisons are more useful for uncommon circumstances or specific reasons. Because of the high vulnerability of children to effects of ionizing radiation, the ALARA-principle is used in routine clinical practice. Multivariable NTCP-models, however, also provide essential information for RT planning optimization; therefore, comprehensive prospective data registration programs should have high priority for pediatric radiation oncology. These will enable linking 3D dose distributions to outcome in terms of toxicities and SMN induction. The European Particle Therapy Network (EPTN) is currently working on a prospective data registration program in Europe.

Additionally, normal tissue sparing with PT affords the opportunity for effective strategies for RT sensitization and enhanced tumor killing with chemo-RT combinations.

In adult malignancies, improved outcomes result from combinations of RT and systemic therapies compared with RT alone in multiple clinical trials across select histologies [133]. Combined systemic chemotherapy with XRT or PT is standard practice in pediatric cancer management. PT guidelines have been incorporated into COG clinical studies [134].

Most pediatric studies employing concurrent systemic and RT aggregate PT and XRT patients, because of the small number of patients receiving PT. These data support superior outcomes with concurrent RT and systemic chemotherapy in multiple diagnoses. The use of concurrent and adjuvant chemotherapy for pediatric standard-risk medulloblastoma permitted reduction of CSI dose from 36 Gy to 23.4 Gy with equivalent event free survival [135,136]. A recent study of 88 children treated with PT (45 patients) or XRT (43 patients) for standard-risk medulloblastoma revealed equivalent LC and OS, supporting the role of concurrent chemoradiotherapy for both XRT and PT [137]. In high-risk medulloblastoma, a single arm pilot study of concurrent carboplatin with CSI exhibited EFS of 71%, better than historical controls [138].

Concurrent use of RT and chemotherapy is a critical component of therapy for significant groups of patients with RMS, particularly with residual disease after surgery, nodal involvement or alveolar

histology. Parameningeal RMS (PM-RMS) is a frequent treatment scenario that is not amenable to complete surgical resection, and with high risk for RT related toxicities. Recent reports of children with PM-RMS treated with PT and standard concurrent chemotherapy revealed LC and OS comparable to historical controls and with favorable rates of late effects compared to reported XRT cohorts [76,83]. COG and other collaborative consortia have also demonstrated tolerability of concurrent RT with systemic multiagent chemotherapy in other childhood malignancies including EWS, WT, NB, nasopharyngeal carcinoma and other malignancies.

Key consensus proposals have been advanced to systematically exploit the significant opportunities for the development of new drug- and immunotherapy-RT combinations, particularly with the advent of precision RT approaches, including PT [133,139,140]. Challenges remain, however, for the systematic and rational development of drug- and immunotherapy-PT combinations and should be target areas for future research. A major area for investigation is the incomplete understanding of the biological mechanisms underpinning PT and heavy ion cytotoxicity [141,142]. Several recent reports suggest that PT is more efficient than XRT in the generation of apoptosis and cytotoxicity against RT-resistant stem-like cancer cells derived from patients with glioblastoma and non-small cell lung cancer [143,144]. In parallel, a better understanding is needed of factors influencing the cytotoxicity and relative biological effectiveness (RBE) of PT, including the influence of linear energy transfer considerations, dose-per-fraction, tumor heterogeneity and architecture, and differential sensitivity of different tumor types, and other variables [145,146]. Notably, PT may provide advantages in combined immunotherapy applications, as PT technology is associated with reduced marrow and normal hematopoietic and immune stem cell toxicity and sparing of lymphocytes. A better understanding of effects of PT on the immune microenvironment of tumors will also provide an enhanced foundation for the refinement of PT-immunotherapy combination studies.

Finally, it is imperative that treatment centers work together to accelerate the pace of research in pediatric cancer. While it is ideal to follow every patient on a large prospective group studies, it is simply not feasible because of tight research funding budgets and the diversity of malignancies. Registries are an ideal method to capture outcomes of every willing patient. Registries are not limited by specific disease type and are minimal risk studies with less oversight and monitoring; therefore, requiring a more affordable infrastructure.

Children treated with PT where data need to be collected, analyzed and published are an ideal group for observational registries because of the relative rarity of pediatric cancer and PT. The Pediatric Proton Consortium Registry (PPCR) was established to accelerate outcomes research in this patient population. 2000 patients have enrolled at 14 US PT centers as of early 2018. [147] The PPCR and other registries will provide a real-world view of clinical practice in PT, patient outcomes, safety, and can establish a platform for comparative effectiveness studies with XRT cohorts.

Importantly, registries require some funding and participating institutional commitment. It is clear that a comprehensive database will provide better data and more robust research, but can be more expensive to run. With more details, data entry, chart review and follow up are more time consuming and labor intensive as patient numbers increase. New methods including web-based input and automatized medical record data extraction provide promise for the future to reduce the time, effort and expense of registry management. In summary, registries are ideal to maximize learning from patients' experiences while requiring fewer research community resources; however, they still require research funding and resource investment.

Conclusions

Many studies still suggest that the predominant cause for early death among cancer survivors remains the primary tumor; however, it is also known survivors have many treatment related sequelae that impair their QOL in many domains. Through almost all dosimetric and model based evaluation, clinical outcomes for PT should be favorable with an improved QOL, organ function, development with a reduction in the risk of SMNs. Several decades of follow up data are required to provide objective data on the benefits of PT, as shown by existing study cohorts [158–160]. As highlighted in this review, the model base-approach seems promising for PT selection for cancer children when the primary aim is to reduce side effects. PT may be more efficient than XRT in cancer cell kill and it could be potentially interesting to combine this modality with systemic treatment.

With the use of strategic databases, appropriate baseline testing for organs at risk, diligent follow up and collaborations, there will be more data that will be collected that can help identify appropriate PT expectations and allow more precise modeling for prediction of late effects for patients and their families. Finally, PT remains a costly treatment and more emphasis should be put in assessing the cost-benefit of this treatment and making protons more affordable and available to the pediatric population.

Conflicts of interest notification

The author & co-authors have no potential Conflict of Interest.

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